



December 9: Lending, Deposits, & Hot Topics
 December 15: BSA/AML
 8:30 am – 5:00 pm
 Wilmington University - Brandywine Campus
 (available livestream and on demand)

Presenting Sponsor: **troutman
pepper**

December 9, 2021
 Total CLE Credits: 8

8:30 AM – 12:30 PM	Deposits and other Compliance Hot Topics <ul style="list-style-type: none"> • Overdraft Compliance • UDAAP • Reg. CC • Reg. DD 	Presented By: CAPCO Robert Cardwell Alice Judd
12:30 PM – 1:30 PM	Lunch Break	
1:30 PM – 5:30 PM	Lending Hot Topics <ul style="list-style-type: none"> • Flood Compliance • Fair Lending • CRA • HMDA 	Presented By: CAPCO Diana Banks Elizabeth Rozsa

December 15, 2021
 Total CLE Credits: 7

8:30 AM – 12:30 PM	BSA/AML Hot Topics <ul style="list-style-type: none"> • BSA/AML Updates (Cyber, On-line Exploitation, Human Trafficking, Banking Cannabis, Protecting Seniors, FinCEN Priorities, Recent AML Case Studies) • Overview of AML-related enforcement actions • Successful tips for conducting a risk assessment • AML and sanctions-related referrals (how to process the entire cycle of alert/case/SAR) 	Presented by Wilmington University, Chris King
12:30 PM – 1:30 PM	Lunch Break	
1:30 PM – 4:30 PM	BSA/AML Basics <ul style="list-style-type: none"> • Review basic Terms and Acronyms and money laundering "challenges" • Discuss differences between fraud and AML • Review red flags of potential suspicious activity related to money laundering • Summarize Key AML-related Regulations and their associated requirements • Cover the Five Pillars of AML • Basics of risk assessments • Sanctions/Office of Foreign Assets Control • Additional Resources –helpful hints 	Presented by Wilmington University, Chris King